DOCKET NO.: P0316.70027US00

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant:

Crooks, et al.

Serial No:

10/630,093

Confirmation No: Filed:

Not yet assigned July 30, 2003

For:

METHOD AND APPARATUS FOR ALARM VERIFICATION

IN A VENTILATION SYSTEM

Examiner: Art Unit:

Not yet assigned

nit: Not yet assigned

# CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 5, 2003.

Lisa Robillar

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

[X] Information Disclosure Statement w/ PTO Form 1449

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, Crooks et al., Applicants

\_

Robert E. Hunt, Reg. No.: 39,231 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720-3500

Docket No.: P0316.70027US00

Date: September 5, 2003

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Lisa Robillard

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

## PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Crooks et al., Applicant(s)

Bv:

Robert E. Hunt, Reg. No. 39,231 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No.: P0316.70027US00

Date: September 5, 2003

**XNDDX** 

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7	FORM PTO	9/A and B (	Modifie	d)	APPLICATION NO.	: 10/630,093	ATTY. DOCKET NO.: P0316.70027US00
		EMENT BY			FILING DATE:	July 30, 2003	CONFIRMATION NO.: not yet assigned
.					APPLICANT:	Crooks, et al.	
	Sheet	1	of	2	GROUP ART UNIT	not yet assigned	EXAMINER: not yet assigned

#### U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited	Date of Publication or of issue
Initials#	No.	Number	Kind Code	Document	of Cited Document MM-DD-YYY
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		4,466,341		Grogan	8/21/1984
		4,706,553		Sharp et al.	11/17/1987
		4,497,242		Moyer	2/5/1985
		4,528,898		Sharp et al.	7/16/1985
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		5,014,541		Sides et al.	5/14/1991
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#### FOREIGN PATENT DOCUMENTS

Examiner's	Cite		eign Patent Docum		Name of Patentee or Applicant of Cited Document (not necessary)		Translation
Initials#	No.	Office/ Country	Number	Kind Code			(Y/N)
						-	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the	Transla	ition
Initials#	No	item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue	(Y/N	۷) (I
		number(s), publisher, city and/or country where published.	<u> </u>	
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EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. , filed , and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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	49/A and B (M			APPLICATION NO.:	10/630,093	ATTY. DOCKET NO.: P0316.70027US00
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				APPLICANT:	Crooks, et al.	
Sheet	2	of	2	GROUP ART UNIT:	not yet assigned	EXAMINER: not yet assigned

U.S.	<b>PATENT</b>	DOCUMENTS
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Examiner's	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document
Initials#	No.	Number	Kind Code	Document	MM-DD-YYY
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FOREIGN PATENT DOCUMENTS

Examiner's	Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited  Document	Date of Publication of	Translation
Initials#	No.	Office/ Country	I Kind I	(not necessary)	Cited Document MM-DD-YYYY	(Y/N)	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
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